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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

#9 as 9-16-02

the Application of:

LaFore, et al.

09/497,272

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For: "DATA PROCESSING SYSTEM FOR)

**BROKERAGE HOUSE"** 

**Assistant Commissioner for Patents** 

Washington, D.C. 20231

Examiner: Bui, T.

Group Art Unit:

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

3628

CERTIFICATE OF MAILING

I HEREBY CERTIFY THAT THIS CORRESPONDENCE IS BEING DEPOSITED WITH THE UNITED STATES POSTAL SERVICE AS FIRST CLASS MAIL IN AN ENVELOPE ADDRESSED TO THE ASSISTANT COMMISSIONER OF PATENTS, WASHINGTON, DC 20231 ON 9 1102

SHERIDAN ROSS P.C.

BY: Christine Jacquet

Dear Sir:

Pursuant to Applicants duty of disclosure under 37 CFR § 1.56, Applicants hereby submit this Supplemental Information Disclosure Statement with a copy of the documents identified on the enclosed PTO Form 1449, although Applicants do not admit that any of such documents, alone or in any combination, are considered to be material to patentability as defined in 37 CFR § 1.56(b). Moreover, the inclusion of these documents is not to be construed as an admission by Applicants that each such document is prior art as to the above-identified patent application.

Also enclosed please find a check in the amount of \$180.00 pursuant to 37 CFR § 1.97(c).

Respectfully submitted,

SHERIDAN ROSS P.C.

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Brent P. Johnson

Registration No. 38,031

1560 Broadway, Suite 1200

Denver, Colorado 80202-5141

(303) 863-9700

Date: 9/3/02